

A Collaboration Model between Archival Systems to Enhance the Reliability of Preservation by an Enclose-and-Deposit Method

Shigeo Sugimoto

***Grad. School of Library, Information and Media Studies,
University of Tsukuba, Japan***

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Background: Original Work of This Presentation

- **This presentation is based on the work presented at 5th International Web Archiving Workshop (IWAW05) in Vienna, Sept. 2005**

A Collaboration Model between Archival Systems to Enhance the Reliability of Preservation by an Enclose-and-Deposit Method

by *Koichi Tabata, Takeshi OKADA, Mitsuharu Nagamori, Tetsuo Sakaguchi, Shigeo Sugimoto (University of Tsukuba, Japan)*

MFA Boston: Collections Search Results - Mozilla Firefox

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Collection Search

330,321 artworks

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"Akashi no Shugetsu" (from the series "Ukiyo Genji Hakkei")*
 Japanese, Edo period
 Artist: Chôbunsai Eishi, Japanese, 1756-1829
 Japan
 Woodblock print (nishiki-e); ink and color on paper

Classification: Prints
Accession number: 21.4936

"Amagoi" (from the Series "Ukiyo Nana-komachi")*
 Japanese, Edo period, about 1780
 Torii Kiyonaga, Japanese, 1752-1815
 Japan
 Woodblock print (nishiki-e); ink and color on paper


Classification: Prints
Catalogue: Hiraga, 1939, #0303, Ukiyo-e

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Resources

 **"Amagoi" (from the Series "Ukiyo Nana-komachi")***
Japanese, Edo period, about 1780
Torii Kiyonaga, Japanese, 1752-1815
Japan

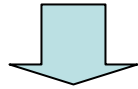
Museum of Fine Arts, Boston has a rich collection of Japanese Ukiyo-e. Sometimes, precious Ukiyo-e resources are found in the US, which were collected in 19th century. Those resources could have been lost if they were not collected by foreign visitors at that time.

 **"Removing a Straw Raincoat" (from the Series "Ukiyo Jisshu Ho [Ten Precious Things of the Floating World]")***
Japanese, Edo period, about 1785
Torii Kiyonaga, Japanese, 1752-1815
Japan
Woodblock print (nishiki-e); ink and color on paper
Classification: Prints
Catalogue: Hirano 1939. #0744: Ukiyo-e

2006

Background and Goal

- Archiving/preservation of digital resources at many libraries, museums, archives, governmental sectors, etc.



Heterogeneity of archives

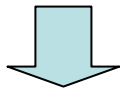
- Size of Archives
- Type of Archived Resources
- Architecture and Interface of Archival System
- Archive and Preservation Policies
- Language for Describing Metadata

Background and Goal (cont'd)

- Are the archives of digital resources really reliable?


Risks

- Shortage of human resources and funding to keep archives alive
- Organizational changes
- Natural and human-caused disasters



High risks especially in small communities

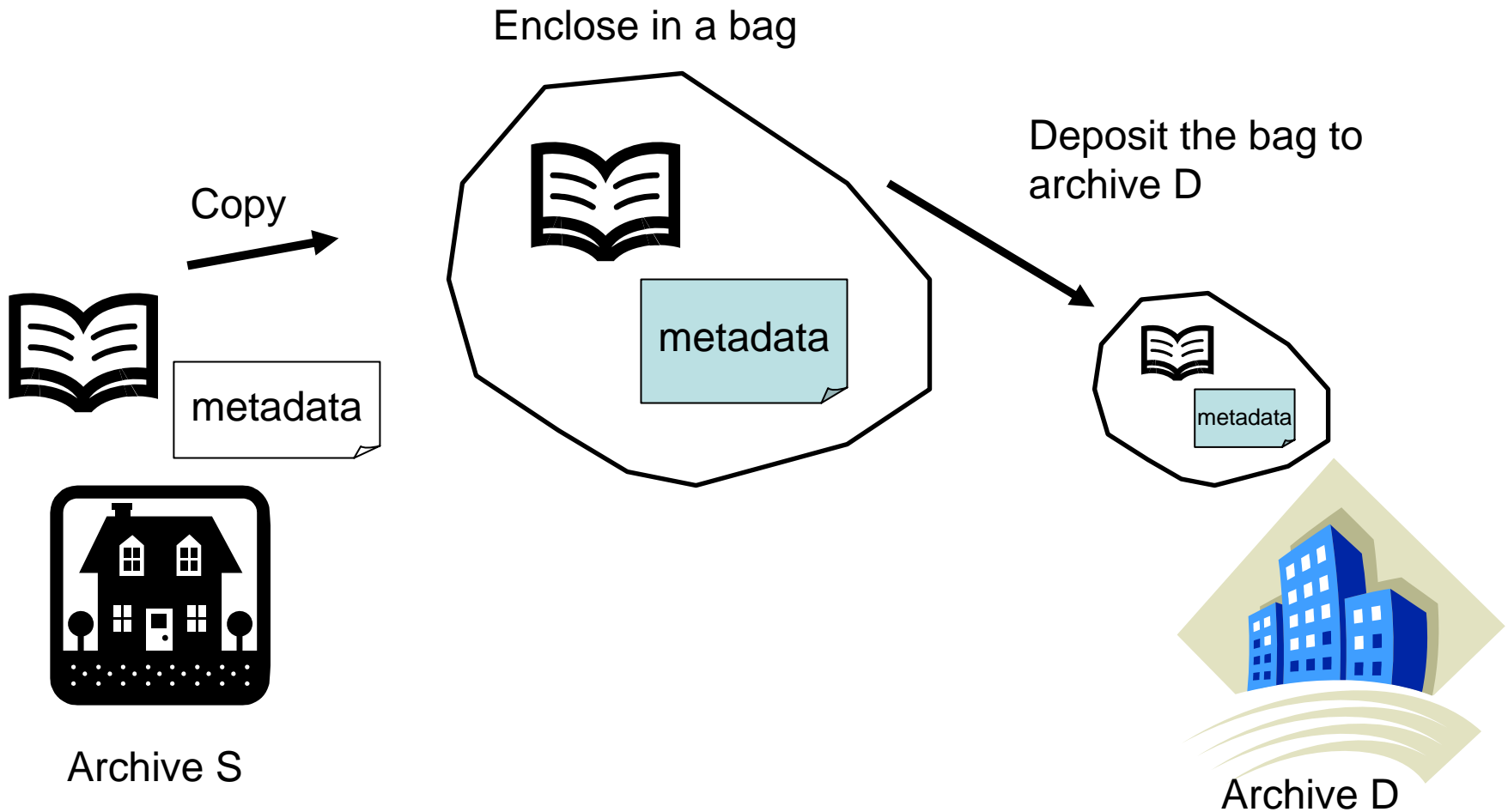
Background and Goal (cont'd)

- Crucial issues to make digital archives highly reliable
 - Simplicity
 - Inexpensiveness in system cost and in human labor
- 
- Interoperability among archives over time

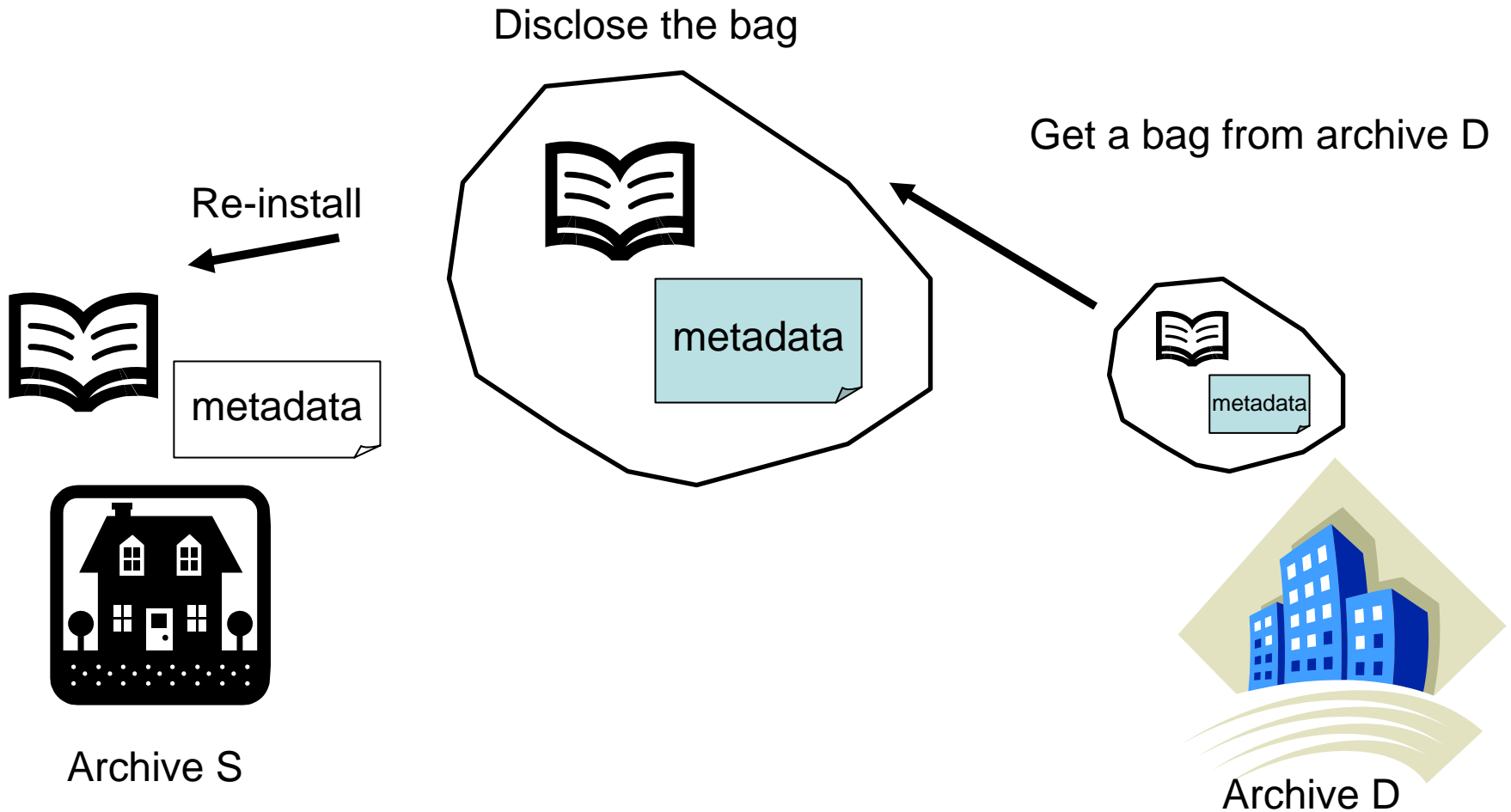
Background and Goal (cont'd)

- Our approach, “Enclose-and-Deposit”
 - Enclose a preserved resource into a bag with an appropriate description and deposit the bag to a collaborating archive (or archives)
- Preservation by Collaborating Archives
 - Simple collaboration protocol to reduce costs and to enhance interoperability
- Use XML to realize a Bag
 - Simple and Stable

Enclose and Deposit



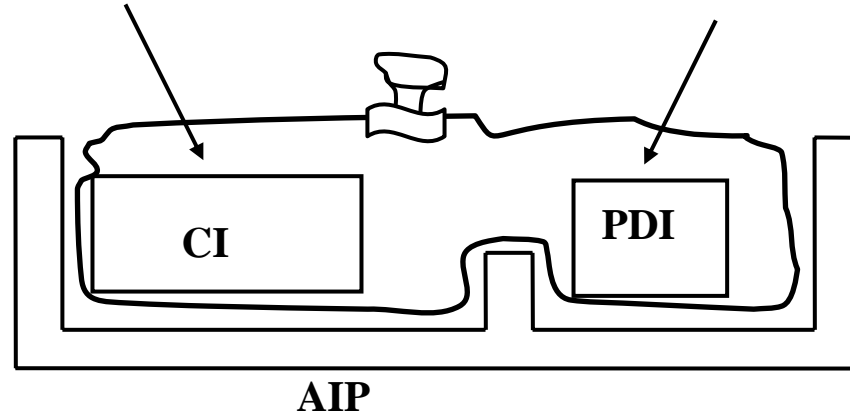
Enclose and Deposit



Bag and AIP

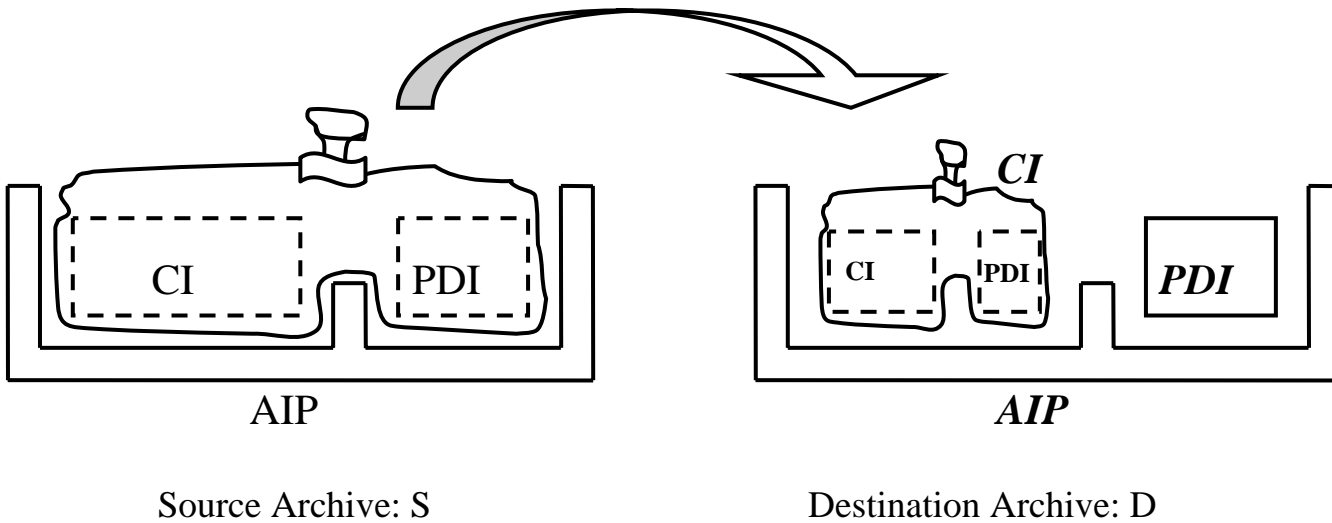
Content Information

Preservation Description Information



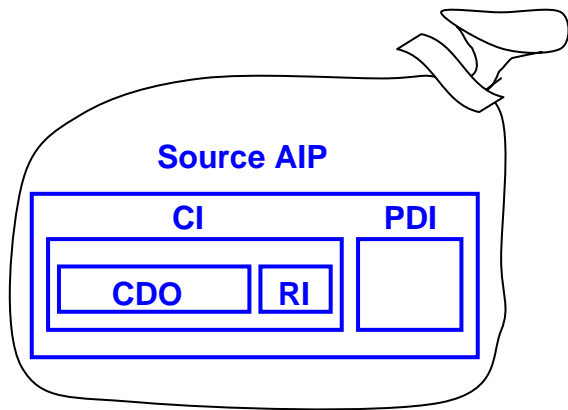
Archived Resource enclosed in a bag
Archival Information Package (AIP) of OAIS

Bag and AIP



Concept of Deposition between OAISs

Bag in XML



A Bag created
from a source AIP

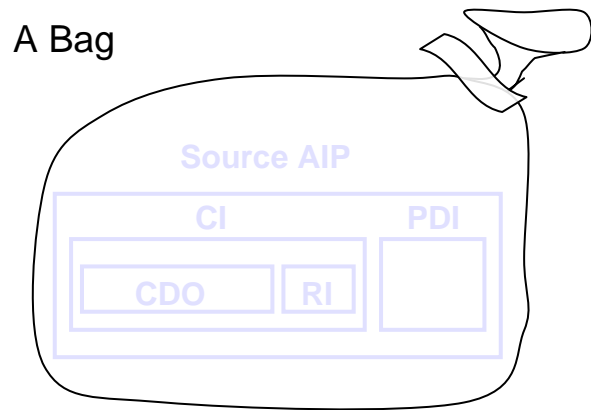


```
<?xml version="1.0" encoding="xxx"?>
<AIP>
  <CI>
    <CDO>xxx</CDO>
    <RI>xxx</RI>
  </CI>
  <PDI>xxx</PDI>
</AIP>
```

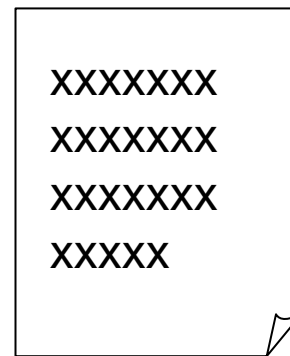
XML Implementation of a Bag

A Skeleton of an XML instance which encloses Source AIP

Manual to Open a Bag



A manual prepared by the source archive for archive managers in future



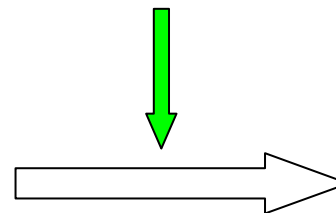
describes how to open and restore the bag in the source archive environment

Realized as an XML instance

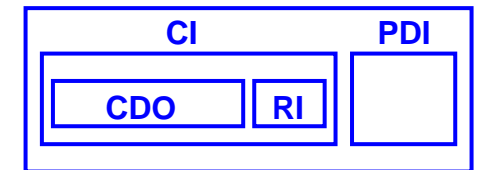
```
<?xml version="1.0" encoding="xxx"?>
<AIP>
  <CI>
    <subCI><CDO>xxx</CDO><RI>xxx</RI></subCI>
    <subCI><CDO>xxx</CDO><RI>xxx</RI></subCI>
    <subCI><CDO>xxx</CDO><RI>xxx</RI></subCI>
  </CI>
  <PDI>xxx</PDI>
</AIP>
```

An XML instance

(A Example of multiple Objects)



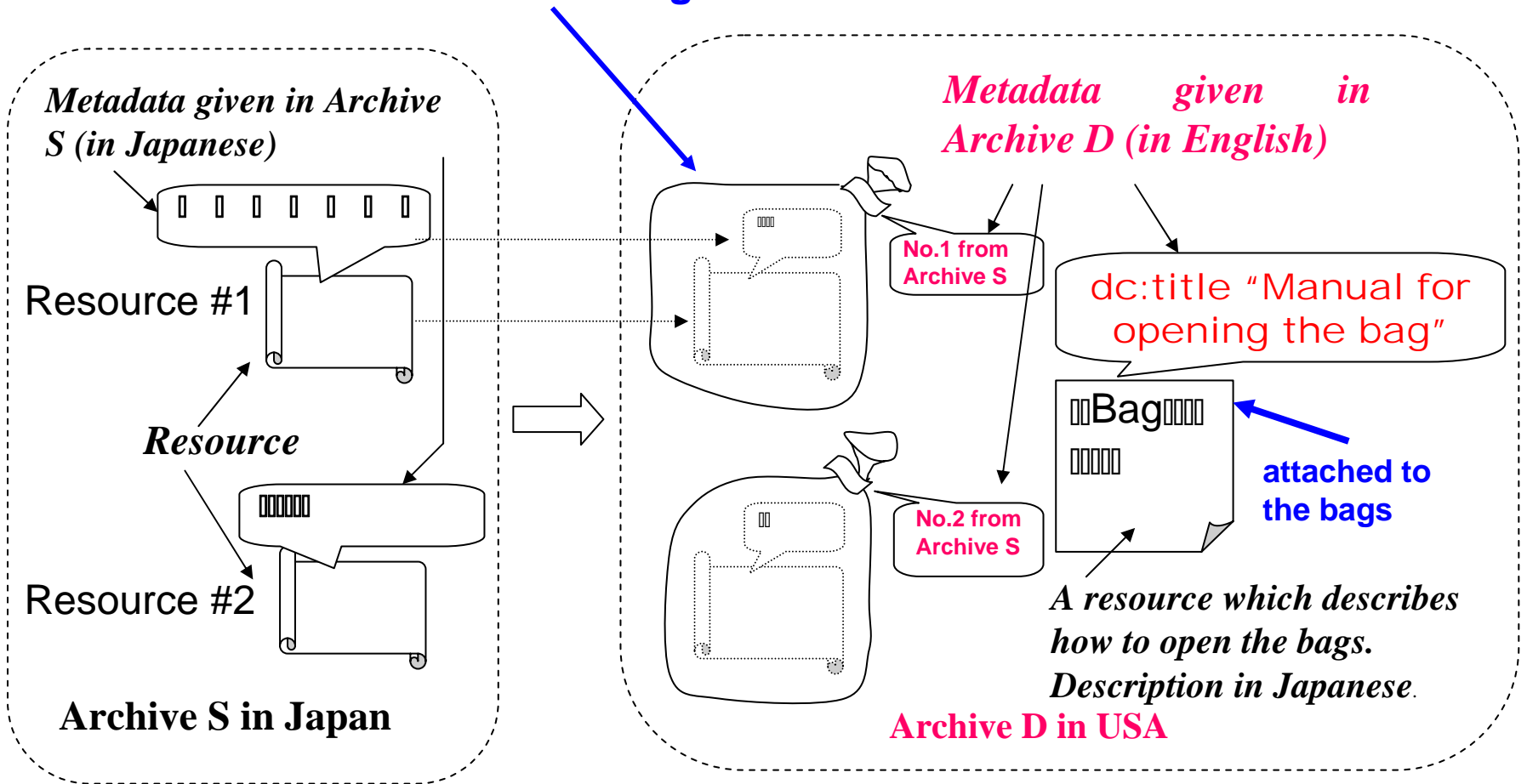
Source AIP restored



A Manual required to restore a bag

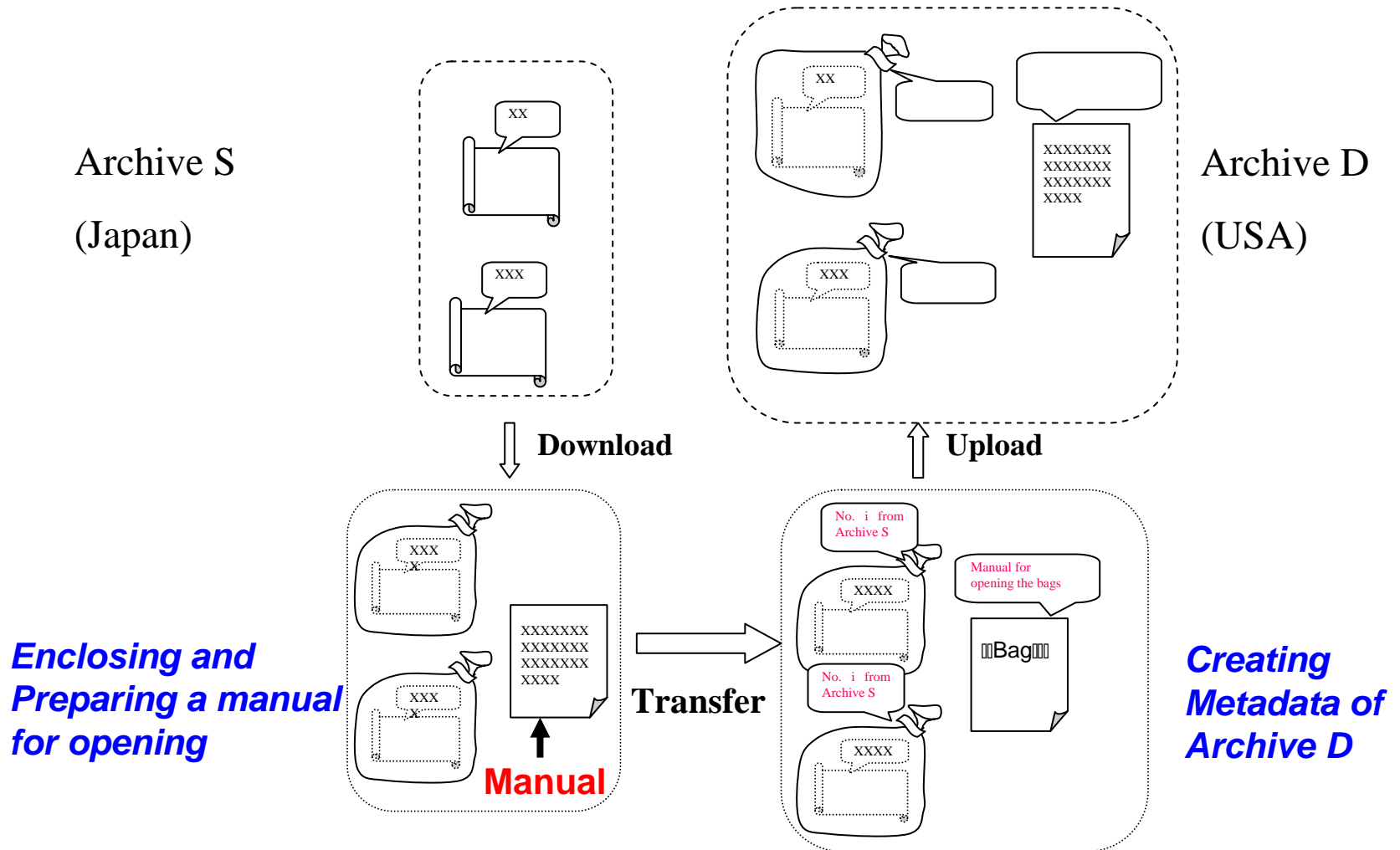
Manual to Open a Bag

A resource and its metadata enclosed in a bag.



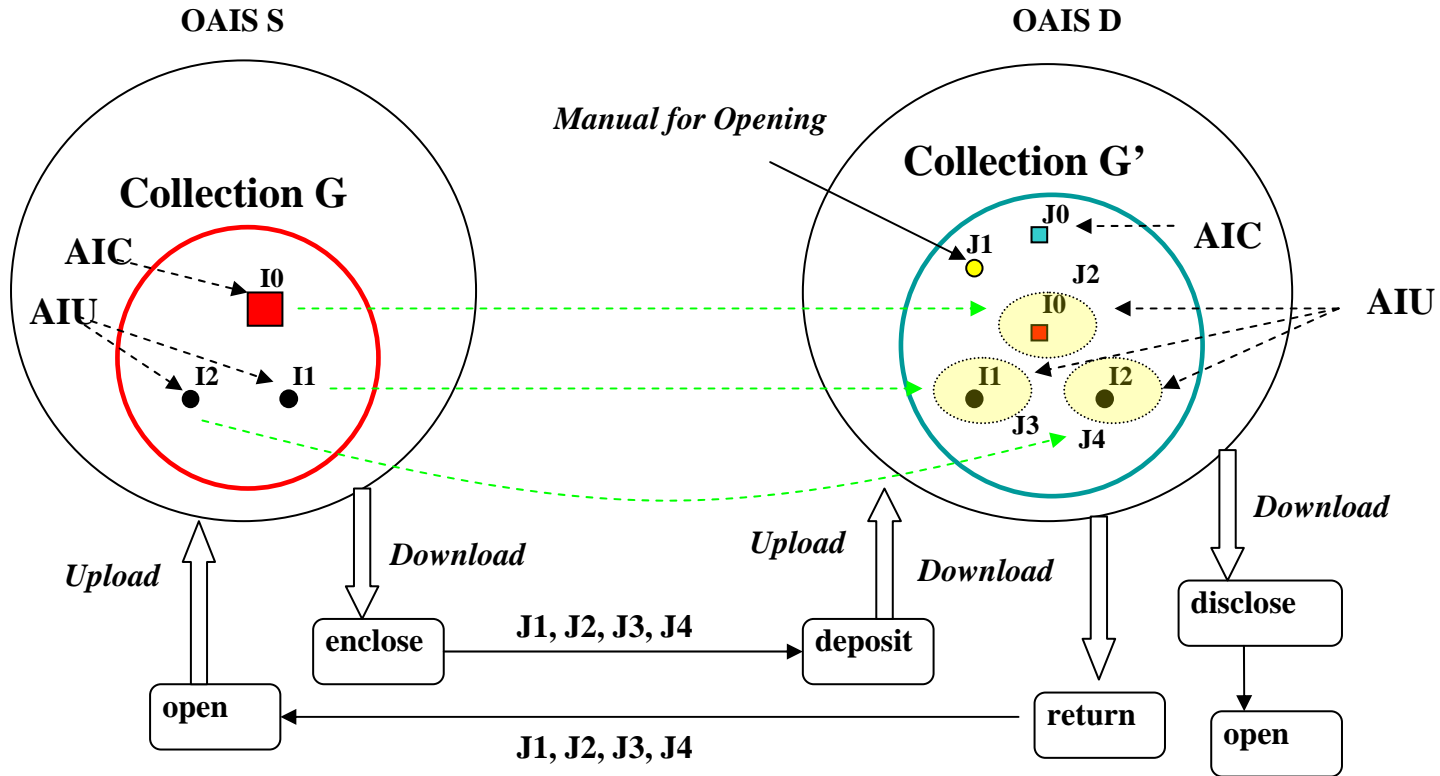
An enclose-and-deposit Model between Archives

Enclose and Deposit



Procedure for an Enclose-and-Deposit Method

Enclosing a Collection



AIC: Archival Information Collection
AIU: Archival Information Unit

 Enclosed instance

Depositing a Collection between OAISs

Encoding of a Collection

```
<?xml version="1.0" encoding="xxx" ?>
```

```
<Collection>
```

```
<AIP><CI><CDO>yy0</CDO><RI>xxx</RI></CI><PDI>xxx</PDI></AIP>
```

```
<AIP><CI><CDO>yy1</CDO><RI>xxx</RI></CI><PDI>xxx</PDI></AIP>
```

```
<AIP><CI><CDO>yy2</CDO><RI>xxx</RI></CI><PDI>xxx</PDI></AIP>
```

```
</Collection>
```



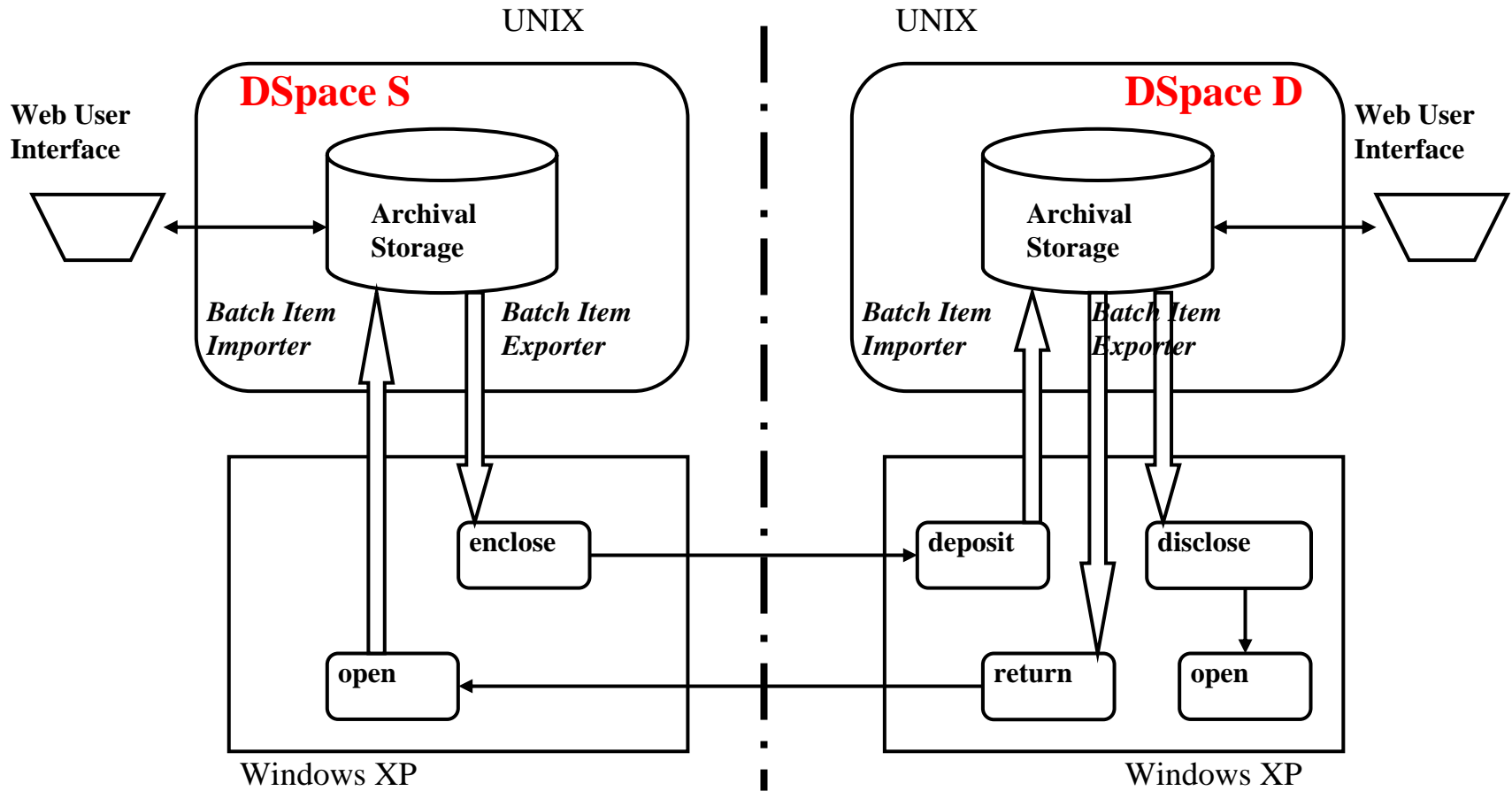
Disclosure

CDO	RI	PDI
C:/ppp/qqq/1/rrr0.xml	xxx	xxx
C:/ppp/qqq/2/rrr1.xml	xxx	xxx
C:/ppp/qqq/3/rrr2.xml	xxx	xxx

CDO: Location of the enclosing document instead of data objects

Table of Source AIPs obtained by Disclosing the Bag of Bags

Implementation

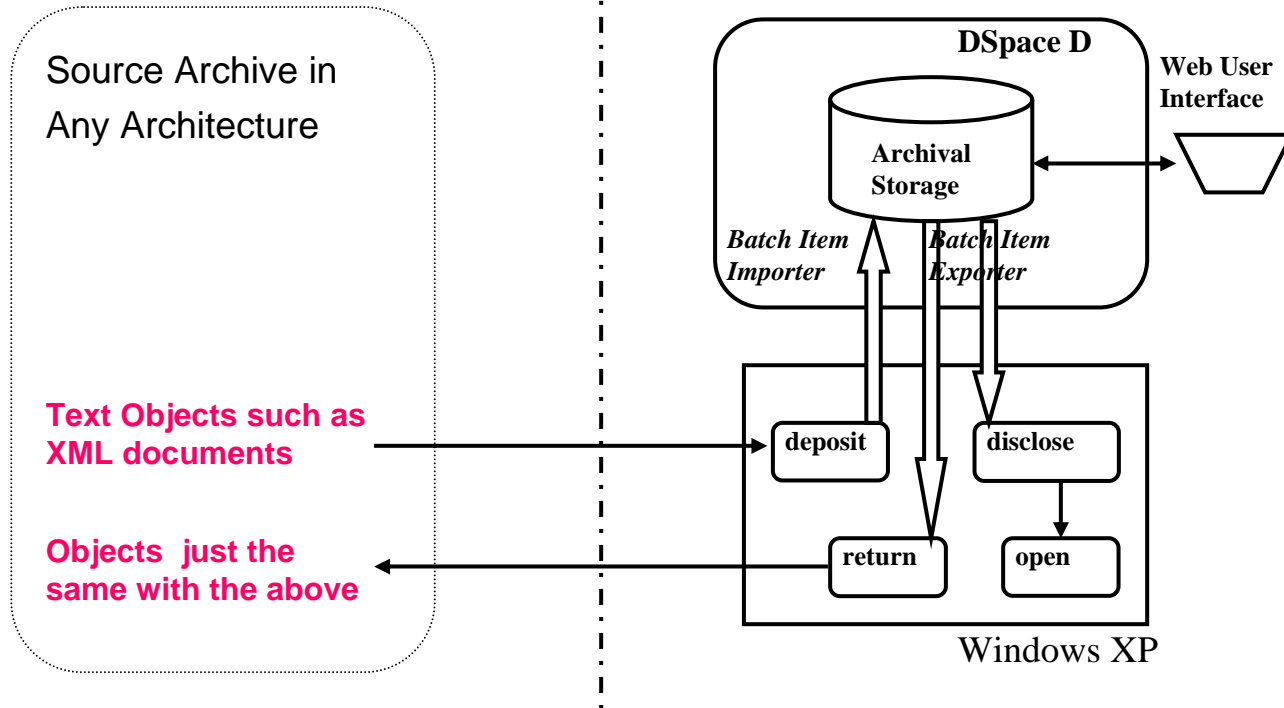


Experimental Implementation for verifying the functionality of the "Enclose-and-Deposit" model using DSpace

Implementation

Source Archive

Destination Archive

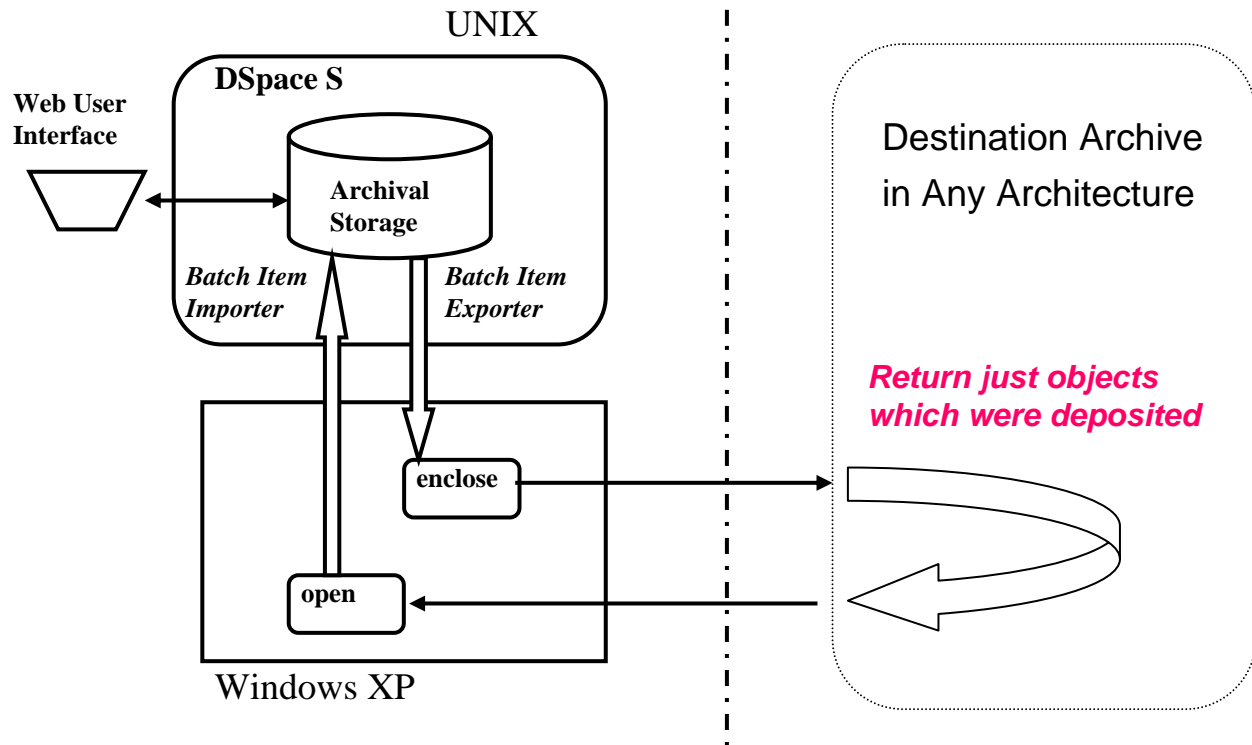


Verification of the Destination Side using DSpace

Implementation

Source Archive

Destination Archive



Verification of the Source Side using DSpace

Summary

- A Simple Scheme for Decreasing Risks of Preservation
- Simplicity is Essential for Heterogeneous Communities

Thank you!